

97W-1105

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No., 13106
In Re Application Of: Tsung-Ho et al.			
Serial No. 09/418,328	Filing Date October 14, 1999	Examiner	Group Art Unit 1765
Title: CHEMICAL MECHANICAL ABRASIVE COMPOSITION FOR USE IN SEMICONDUCTOR PROCESSING			
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <p style="margin-left: 40px;">1. a Final Action under 37 CFR 1.113, or</p> <p style="margin-left: 40px;">2. a Notice of Allowance under 37 CFR 1.311,</p> <p style="margin-left: 40px;">whichever occurs first.</p> <p>Also submitted herewith is:</p> <p style="margin-left: 40px;"><input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p>			

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Docket No. .
13106

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Serial No.
09/418,328

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Title: **CHEMICAL MECHANICAL ABRASIVE COMPOSITION FOR USE IN SEMICONDUCTOR PROCESSING**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
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I certify that this document and fee is being deposited on 12/27/99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. _____ Signature of Person Mailing Correspondence _____
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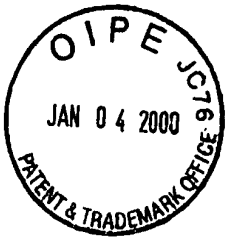
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Marvin Bressler
Signature

Dated: December 27, 1999

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

Applicant(s): Tsung-Ho Lee et al.

Examiner:

Serial No.: 09/418,328

Art Unit: 1765

Filed: October 14, 1999

Docket: 13106

For: CHEMICAL MECHANICAL
ABRASIVE COMPOSITION FOR
USE IN SEMICONDUCTOR
PROCESSING

Dated: December 27, 1999

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)(1)

Sir:

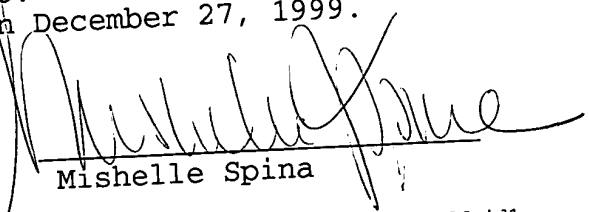
Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R. §1.56, submit those references which they deem pertinent to the claims of the present application.

The relevant references are United States Patent Nos. 4,959,113; 5,084,071; 5,114,437; 5,209,816; 5,225,034; 5,336,542; 5,340,370; 5,391,258; and 5,516,346 and International Patent Application No. WO 96/16436.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 27, 1999.

Dated: December 27, 1999


Michelle Spina

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Applicants desire that the aforementioned references be made of record in the file of the present application. To that end the aforementioned references are included on enclosed completed Form PTO-1449 and copies of these references are provided herewith. Applicants request that the Examiner initial the aforementioned references on completed Form PTO-1449 and make them of record in the file of the present application.

The present Information Disclosure Statement is filed within three months of the October 14, 1999 filing date of the present application. Therefore, the instant Information Disclosure Statement is filed under 37 C.F.R. §1.97(b)(1). An Information Disclosure Statement filed under this rule need be accompanied by neither certification nor fee.

Respectfully submitted,



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